

# 個別課程英文授課大綱

表單編號：QP-T02-07-11

保存年限：10 年

課程名稱 Course Title	(中文)國際保險監理專題		
	(英文) International Aspect of Insurance Regulation		
授課教師 Instructor	林建智	開課單位 Departments	風險管理與保險 學系
學分數 Credit(s)	3	修課對象 Target Students	風碩一、二 風博一、二
課程目標 Course Objectives	<p>At the end of this course, the students should be able to:</p> <ol style="list-style-type: none"> <li>1. Understand the fundamental theories to regulation in the insurance sector.</li> <li>2. Understand a broad perspective of financial services and insurance in terms of market development and regulatory policies.</li> <li>3. Understand the important international principles, standards conducted by the IAIS.</li> <li>4. Understand the effects of and the rationale for public policies that affect the insurance industry, insurance end-users (i.e. enterprises and individuals).</li> <li>5. Think critically and analytically solve regulatory problems that confront the policy makers, insurance industry and the end-users.</li> </ol>		
課程大綱 Course Description	<p>The objective of this course is to equip the students with a general framework for understanding the theories of regulation for insurance and other financial services and the core principles for insurance regulation promoted by the International Association and Insurance Supervisors (IAIS). Institutional details with emphasis on the current regulatory issues in Taiwan will also be addressed. Since the regulatory issues are constantly changing, our primary goal is to stimulate critical thinking and promote the development of problem solving skills to better prepare students for applying general concepts to new types of regulatory policy issues.</p>		
上課進度 Weekly Course Schedule	Topic	Content	Assigned Reading
		Orientation	
	1	Introduction to Regulation, Different Roles in Insurance Regulation	IIA, Chapter 1 & 6
	2	Regulation of Private-Sector Financial Services	S& K, Chapter 8
	3	Regulation and Taxation in Insurance Markets	S& K, Chapter 24
	4	Financial Services Integration	S& K, Chapter 25
	5	Reinsurance	S& K, Chapter 23
	6	Global Liberalization of Insurance Services and Harmonization in Insurance Regulation:	JJ

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	<p style="text-align: center;">Reviewing Certain Regulatory Issues in Taiwan</p> <p style="text-align: center;">Insurance and other Financial Services:</p> <p>7                    Segregation and Convergence – Regulatory Dilemma in Taiwan                    JJ</p> <p>8                    Structural Reform of Financial Regulation in Taiwan – Transition from the MOF to the FRC                    JJ</p> <p>9                    Insurance Core Principles (ICP)                    IAIS</p> <p>10                    Insurance Concordat                    IAIS</p> <p>11                    Conduct of Insurance Business                    IAIS</p> <p>12                    Capital Adequacy and Solvency                    IAIS</p> <p>13                    Minimum Requirement for Supervision of Reinsurers                    IAIS</p> <p>14~17                    Presentations</p> <p>18                    Final Review</p>
<p style="text-align: center;">教學方式 Instructional Method</p>	<p style="text-align: center;">A student-centered approach will be adopted by this course. Not only this course primarily utilizes lectures but also encourages class discussion. Student-instructor dialogue is especially encouraged. Students are ultimately responsible for their own progress by being active and critical participant in the learning. It is required that all the students shall have Pre-Class preparation for the assigned reading materials. The instructor will identify and arrange access to resources, create the context for learning and serve as an informal mentor.</p>
<p style="text-align: center;">課程要求 Course Requirements</p>	
<p style="text-align: center;">評量方式 Evaluation</p>	<p style="text-align: center;">Class Participation: 50%    Presentations: 25%    Term Papers: 25 %</p>
<p style="text-align: center;">教材及參考書 目 Textbooks &amp; Suggested Materials</p>	<ol style="list-style-type: none"> <li>1. Justin L. Brady, et al, <u>The Regulation of Insurance</u>, Insurance Institute of America, 1995</li> <li>2. Harold D. Skipper &amp; W. Jean Kwon, <u>Risk Management and Insurance: Perspective in a Global Economy</u>, Blackwell Publishing, 2007</li> <li>3. Organization for Economic Co-operation and Development (OECD), <u>Glossary of Insurance Policy Terms</u>, OECD, 1999</li> <li>4. International Association of Insurance Supervisors (IAIS), <u>Insurance Principles, Standards &amp; Guidance Papers</u>, 2000/10. ( <a href="http://www.iaisweb.org/default.htm">http://www.iaisweb.org/default.htm</a> )</li> <li>5. Jan-Juy Lin “<u>Global Liberalisation of Insurance Services and Harmonization in Insurance Regulation: Reviewing Certain Regulatory Issues in Taiwan</u>”, 1999 Risk Management and Insurance International Conference in Taipei .</li> <li>6. Jan-Juy Lin “<u>Insurance and other Financial Services: Segregation and Convergence – Regulatory Dilemma in Taiwan</u>” in <i>Yearbook of International Financial and Economic Law 1999</i>, Ed. Joseph J. Norton, Kluwer Law</li> </ol>

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	<p>International 2001.</p> <p>7. Jan-Juy Lin, <u>Structural Reform of Financial Regulation in Taiwan – Transition from the MOF to the FRC in Financial Regulation: A Guide to Structural Reform</u>, Ed. Douglas Arner and Jan-Juy Lin, Sweet and Maxwell Asia, 2003</p> <p><b>Reference Materials (in Chinese)</b></p> <ol style="list-style-type: none"> <li>1. 林建智，保險監理基礎理論之探討，保險專刊，第 49 期，1997/6。</li> <li>2. 林建智，論保險監理之目標，保險專刊，第 50 期，1997/12。</li> <li>3. 林建智，論保險業之營業範圍—兼評保險法第一三八條之潛在缺失，保險專刊，第 54 期，1998/12。</li> <li>4. 林建智，國際保險監理之基本原則，收錄於「美國保險業財務分析及清償能力追蹤之研究與建議」，財團法人保險事業發展中心，2001/1</li> <li>5. 林建智、林蕙玲，論我國金融監督管理架構之革新，2001 Risk Management and Insurance International Conference in Taipei, 主辦單位：國立政治大學風險管理與保險學系，2001/7。</li> <li>6. 林建智，論國際保險市場自由化與監理和諧化 — 兼論我國保險監理革新之策略運用，國政叢刊 2002-003 號，2002/11。</li> <li>7. 林建智，論保險監理之基本架構—兼論我國保險監理制度之改進，保險專刊第十八卷，第二期，2002/12。</li> <li>8. 林建智，專業再保險之監理趨勢與現況，風險與保險雜誌，No.18, 2008/7</li> </ol>
<p style="text-align: center;">課程相關 連結網址 Course Website</p>	
<p style="text-align: center;">備註 Remarks</p>	